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# GROSSMAN

## FINANCIAL MANAGEMENT

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Financial Planning • Investments • Fiduciary • Fee Only

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### PRIVACY STATEMENT

Grossman Financial Management is committed to safeguarding the confidential information of its clients. We hold all personal information provided to our firm in the strictest confidence.

We have never disclosed information to nonaffiliated third parties, except as permitted by law, and do not anticipate doing so in the future. If we were to anticipate such a change in firm policy, we would be prohibited under law from doing so without advising you first.

Our policy with respect to personal information about you is listed below.

- We do not sell your personal information to anyone.
  - We do not provide personally identifiable information to mailing list vendors or solicitors for any purpose.
  - We limit employee access to information only to those who have a business or professional reason for knowing. We limit information access to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about you with a brokerage firm in order to execute securities transactions on your behalf, or so that our firm can discuss your financial situation with your accountant or lawyer.)
  - We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.
  - The categories of nonpublic personal information that we collect from a client depend upon the scope of the client engagement. It may include information about your personal finances, information about your health to the extent that it is needed for the planning process, information about transactions between you and third parties, and information from consumer reporting agencies.
  - For nonaffiliated third parties that help us service your account(s) and that require access to your personal information (including financial service companies, consultants, and auditors), we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted under law.
  - Personally identifiable information about you will be maintained during the time you are a client and for the required time thereafter that such records are required to be maintained by federal and state securities laws, and consistent with the CFP Board Code of Ethics and Professional Responsibility. After this required period of record retention, all such information will be destroyed.
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